

SECTION 3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Kanevsky et al.
 Serial No.: 09/619,179
 For: SYSTEM AND METHOD FOR AUTOMATIC CONTROL OF WINDOW VIEWING
 Filed: July 19, 2000
 Examiner: Not yet assigned
 Art Unit: 2772



Attorney Docket: YO-999-468

**Box MISSING PARTS
 ASSISTANT COMMISSIONER FOR PATENTS
 Washington, D.C. 20231**

Sir:

**TRANSMITTAL OF MISSING PARTS OF APPLICATION AND
 PAYMENT OF SURCHARGE UNDER 37 C.F.R. §1.16(e)**

Transmitted herewith are the following documents pertaining to the above-identified application:

- Declaration and Power of Attorney form executed by Dimitri Kanevsky, Clifford A. Pickover and Alexander Zlatsin;
- Declaration Claiming Small Entity Status (Small Business Concern);
- 6 Sheets of Formal Drawings;
- An Assignment of the Invention to : **International Business Machines, Inc.**, including \$40.00 recordation fee and Assignment Recordation Cover Sheet;
- A Certified Copy of the Priority Application;
- Information Disclosure Statement (Form - 1449); and
- A copy of the Notice to File Missing Parts of Application Filing Date Granted.

___ Petition and Fee for Extension of Time (37 CFR 1.136(A)).

The Filing Fee is calculated below.

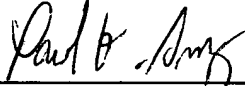
CLAIMS AS FILED				
(1) For	(2) Number Filed	(3) Number Extra	(4) Rate	(5) Basic Fee \$690.00
Total Claims	43 - 20 =	23	x \$18.00	\$414.00
Independent Claims	4 - 3 =	1	x \$78.00	\$78.00
Multiple Dependent Claim Fee			x \$270.00 = \$0.00	
TOTAL FILING FEE				\$1,182.00

1/2 FILING FEE FOR SMALL ENTITY	\$
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A check in the amount of \$ 1,352.00 to cover the (\$1,182.00) filing fee; the (\$40.00) recordation of assignment and (\$130.00) surcharge is enclosed.

The Commissioner is hereby authorized to charge any additional fees under 37 C.F.R. §§1.16 and 1.17 which may be required with this communication or during the entire pendency of the application, or credit any overpayment, to **Deposit Account No. 01-0467**. A duplicate copy of this Form is enclosed.

November 13, 2000
Date



Paul D. Greeley, Esq.
Ohlandt, Greeley, Ruggiero & Perle, L.L.P.
Attorney for Applicant(s)
Registration No. 31,019
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Telefax: (203) 327-6401

CERTIFICATE OF MAILING

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE U.S. POSTAL SERVICE AS FIRST CLASS MAIL IN AN ENVELOPE ADDRESSED TO: BOX MISSING PARTS, ASSISTANT COMMISSIONER FOR PATENTS, WASHINGTON, D.C. 20231, ON NOVEMBER 13, 2000.

Victoria E. Roeser
NAME.



SIGNATURE

11/13/00
DATE

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UNITED STATES PATENT AND TRADEMARK OFFICE

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WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/619,179	07/19/2000	Dimitri Kanevsky	YO999-468

Paul D Greeley Esq
Ohlandt Greeley Ruggiero & Perle LLP
One Landmark Square 9th floor
Stamford, CT 06901-2682



FORMALITIES LETTER



OC000000005394090

Date Mailed: 09/13/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$492.
 - \$414 for 23 total claims over 20.
 - \$78 for 1 independent claims over 3 .
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1312.

09619179
710.00 OP
130.00 OP
414.00 OP
80.00 OP

A copy of this notice **MUST** be returned with the reply.

W New

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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

11/20/2000 00000017 010467
01 FC:101
02 FC:105
03 FC:103
04 FC:102

9/12/00